



**McDermott
Investment
Services, LLC**

26 West Broad Street, Bethlehem, PA 18018
A Registered Broker Dealer, Member FINRA, MSRB, SIPC
(610)882-1460

March 5, 2014

Attorney General Joseph Foster
Office of Attorney General
New Hampshire Department of Justice
33 Capitol Street
Concord, NH 03301

Dear Attorney General Foster:

Pursuant to N.H. Title 31, Section 359-C:20, McDermott Investment Services, LLC is providing notice that the personal information of one (1) resident of the State of New Hampshire may have been inadvertently obtained by a third party.

By way of background, we previously notified our clients of the untimely passing of our investment representative Chester Ju and his wife, Shirley Ju, and that a representative not affiliated with our firm was calling clients of Mr. Ju soliciting their business.

Certain customer files that Mr. Ju maintained at his home office were discovered to be missing after his death. These include files he maintained for certain customer accounts, which included information of a personal nature (such as client's name, contact information, and social security number). We have been told by the Personal Representative of the Jus' estates that those files may have been included in files that he disposed of after the Jus' deaths. We also have other circumstantial evidence, however, which indicates that the files are in the possession of the representative not affiliated with our firm who has been contacting our clients. We have no evidence that any other person or entity has possession of the files.

We are taking steps to ascertain what files, if any, this representative may have and to recover any files she may have. We have retained counsel who has demanded that the representative return all information about you that she possesses and that she immediately stop contacting our clients. We have commenced an arbitration proceeding against the representative seeking, among other relief, return of any files she has. We have notified the clients that the representatives may have some of their information.

Although we do not believe that the representatives intend to use any information for identity theft purposes, we take the privacy of the information our clients share with us seriously. Thus, we are sending the enclosed notice to the one (1) New Hampshire resident who may have been impacted by this matter. We remain ready to assist you with any requests you may have concerning this matter. If you require any additional information, I may be reached at the address above.

Yours truly,



Dean P. McDermott, Ph D
Managing Member, CEO

Enclosure (1)



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Dear _____

In previous correspondence I informed you about the untimely passing of your investment representative Chester Ju and his wife, Shirley Ju. I also informed you that a representative not affiliated with our firm was calling clients of Mr. Ju soliciting their business.

Certain customer files that Mr. Ju maintained at his home office were discovered to be missing after his death. These include files he maintained for your account, which included information about you of a personal nature (such as your name, contact information, and social security number). We have been told by the Personal Representative of the Jus' estates that those files may have been included in files that he disposed of after the Jus' deaths. We also have other circumstantial evidence, however, which indicates that the files are in the possession of the representative not affiliated with our firm who has been contacting our clients. We have no evidence that any other person or entity has possession of the files.

We are taking steps to ascertain what files, if any, this representative may have and to recover any files she may have. We have retained counsel who has demanded that the representative return all information about you that she possesses and that she immediately stop contacting you. We have commenced an arbitration proceeding against the representative seeking, among other relief, return of any files she has. We have notified the applicable federal and state regulators that the representatives may have some of your information. Finally, we informed the regulators that we would assist them with any requests they have concerning this matter.

Although we do not believe that the representatives intend to use any of your information for identity theft purposes, we take the privacy of the information you share with us seriously, and wanted to let you know about the potential possession of this information by unauthorized third parties. If you are concerned about your credit, or would like to obtain a copy of your credit report, the toll free numbers for the three major credit reporting agencies are as follows: Equifax – 1-800-685-1111; Experian – 1-800-397-3742; and TransUnion – 1-800-916-8800.

Kindly call with any questions,

Yours truly,

Dean P. McDermott, Ph D
Managing Member, CEO